

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/568,690

Gagik Barkhordarian

3057241855 INTERNATIONAL APPLICATION NO.

PCT/DE04/01804

1.A. FILING DATE

08/12/2004

08/19/2003

PRIORITY DATE

MARSHALL, GERSTEIN & BORUNELE EIVED 233 S. WACKER DRIVE, SUITE 6300 **SEARS TOWER** 

CHICAGO, IL 60606

04743

OCT 1 0 2006

MARSHALL GERSTEIN

**CONFIRMATION NO. 2789** 

**371 FORMALITIES LETTER** 

Date\_Mailed: 09/29/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/17/2006
- English Translation of the IA filed on 02/17/2006
- Copy of the International Search Report filed on 02/17/2006
- Copy of IPE Report filed on 02/17/2006
- Copy of Annexes to the IPER filed on 02/17/2006
- Preliminary Amendments filed on 02/17/2006
- Information Disclosure Statements filed on 02/17/2006
- U.S. Basic National Fees filed on 02/17/2006
- Priority Documents filed on 02/17/2006
- Specification filed on 02/17/2006
- Claims filed on 02/17/2006
- Abstracts filed on 02/17/2006
- Drawings filed on 02/17/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$350 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$480 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 350
  - \$350 for 7 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### **VONDA M WALLACE**

Telephone: (703) 308-9140 EXT 225

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/568,690	PCT/DE04/01804	3057241855

FORM PCT/DO/EO/905 (371 Formalities Notice)

# IAP13 Rec'd PCT/PTO 04 DEC 2006

PTO/SB/21 (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Reference Nork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/568,690-Conf. #2789 Filing Date TRANSMITTAL February 17, 2006 First Named Inventor **FORM** Gagik Barkhordarian Art Unit Not Yet Assigned **Examiner Name** Not Yet Assigned (to be used for all correspondence after initial filing) **Attorney Docket Number** Total Number of Pages in This Submission 30572/41855 ENCLOSURES (Check all that apply) After Allowance Communication x | Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of x | Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) **Status Letter** Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** Terminal Disclaimer Identify below): Part 2 Copy of Notice **Express Abandonment Request** Request for Refund Declaration Information Disclosure Statement CD, Number of CD(s) **Certified Copy of Priority** Landscape Table on CD Document(s) Reply to Missing Parts/ | X | Reply to IIII | Incomplete Application Remarks Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name MARSHALL, GERSTEIN & BORUN LLP Signature Printed name James J. Napoli Date Reg. No. November 29, 2006 32,361

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 29, 2006

(James J. Napoli)

PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

PART HAPENE Complete if Known Effective on 12/08/2004. 10/568,690-Conf. #2789 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number FEE TRANSMITTAL** February 17, 2006 Filing Date Gagik Barkhordarian First Named Inventor For FY 2006 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 Not Yet Assigned Art Unit 30572/41855 TOTAL AMOUNT OF PAYMENT 480.00 Attorney Docket No. **METHOD OF PAYMENT** (check all that apply) Credit Card Money Order Check None Other (please identify): X Deposit Account Number: 13-2855 Deposit Account Name: MARSHALL, GERSTEIN & BORUN LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayments of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity Small Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) 250 Utility 300 150 500 200 100 200 100 100 50 130 Design 65 200 100 300 Plant 150 160 80 300 500 250 150 Reissue 600 300 200 **Provisional** 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims** Fee Paid (\$) **Extra Claims** Fee (\$) Multiple Dependent Claims x = 50.00 =7 27 350.00 - 20 = Fee Paid (\$) Fee (\$) HP = highest number of total claims paid for, if greater than 20. Fee Paid (\$) Indep. Claims Extra Claims Fee (\$) - 3 = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$) Fee (\$) - 100 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1051 Surcharge-Late oath or declaration 130.00 **SUBMITTED BY** Registration No. Signature 32,361 (312) 474-6300 Telephone (Attorney/Agent) James J. Napoli Name (Print/Type) November 29, 2006 Date

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 29, 2006

DEC 0 4 2006

Signature:

(James J. Napoli)

DEC 0 4 2006 W

I hereby certify that this paper (along with any paper referred to as being attached exerciosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to:

MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 29, 2006

(James J. Napoli)

Docket No.: 30572/41855

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Gagik Barkhordarian et al.

Application No.: 10/568,690

Filed: February 17, 2006

For: Metalliferous, Hydrogen-Storing Material and

Process for its Production

Confirmation No.: 2789

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed September 29, 2006, Applicant respectfully submits a Declaration and Part 2 Copy of Notice.

Our check in the amount of \$480.00 covering the fees set forth in 37 CFR 1.16(f) and 1.16(i) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper

12/08/2006 MKAYPAGH 00000045 10568690

01 FC:1617 02 FC:1615 130.00 OP 350.00 OP Application No.: 10/568,690 Docket No.: 30572/41855

hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30572/41855. A duplicate copy of this paper is enclosed.

Dated: November 29, 2006

Respectfully submitted,

James J. Napoli

Registration No.: 32,361

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